


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attention: Examiner Clement B. Graham
Group Art Unit 3628

Applicants:	Lous MAGILL et al.) I hereby certify that this correspondence
Title:	System and Method for Interactive Electronic Open Order Book for Securities Transactions) has been sent by U.S. Postal Service
Serial No:	09/609,028) EXPRESS MAIL No. EV 126197679US,
Filing Date:	30 JUN 2000) addressed to The Commissioner of Patents
) Mail Stop Continuation Application,
) Box 1450, Alexandria, VA 22313-1450
)  05 Jan 2004
) Susan Vanderwalker date

**COMMUNICATION
REGARDING LATE SUBMISSION OF
DECLARATION AND POWER OF ATTORNEY DOCUMENT**

Honorable Commissioner
of Patents and Trademarks
Washington, DC 20231

Dear Madame:

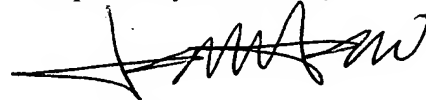
This Continuation Application is being submitted without an Oath or Declaration pursuant to Rule 1.53.

The Applicant is a Small Entity. In accordance with Rule 1.16(e), a surcharge of \$65.00 will be submitted along with the Declaration and Power of Attorney document, at a later date.

January 5, 2004

BAKER & McKENZIE
130 E. Randolph Drive
Chicago, IL 60601
ph: 312/861-2851
fx: 312/861-8937

Respectfully submitted,



Jeanny HAW
Reg. No. 51,790

Please type a plus sign (+) inside this box → ☐

PTO/SB/82 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REVOCATION OF POWER OF
ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	09/609,028
Filing Date	30 JUNE 2000
First Named Inventor	MAGILL, Louis
Group Art Unit	
Examiner Name	
Attorney Docket Number	ARCH-101-US

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

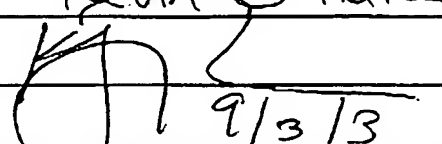
☒ Please change the correspondence address for the above-identified application to:☐ Customer NumberPlace Customer
Number Bar Code
Label here

OR

<input checked="" type="checkbox"/> Firm or Individual Name	David I. ROCHE				
Address	BAKER & MCKENZIE				
Address	130 E. Randolph Drive				
City	Chicago				
Country	United States	State	IL	ZIP	60601
Telephone	312-861-8608	Fax	312-861-8937		

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Name	Kevin O'Hara
Signature	
Date	9/3/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/96 (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: GlobeNet Capital CorporationApplication No./Patent No.: 09/609,028 Filed/Issue Date: Filed 30 JUNE 2000Entitled: "System and Method for Interactive Electronic Open Order Book for Securities Transactions"ARCA-GNC Acquisition, LLC, a limited liability corporation,
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

- Louis MAGILL and
1. From: Bob Semones To: GlobeNet Capital Corporation
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: GlobeNet Capital Corp. To: ARCA-GNC Acquisition LLC
The document was recorded in the United States Patent and Trademark Office at
Reel 013493, Frame 0768, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

7/3/3
Date
312-960-1696
Telephone number

Kevin O'Hara
Typed or printed name
[Signature]
Signature
Chief Counsel
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Louis Magill et al.		Docket No.: 24652.020200
Serial No.: 09/609,028		Art Unit: 2161
Filing Date: June 30, 2000		Examiner:
Title System and Method for Interactive Electronic Open Order Book for Securities Transactions		
DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY		
DECLARATION OF SOLE OR JOINT INVENTOR		
<p>As a below-named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitle above, the specification of which is either attached hereto, or was filed on the date listed above as with the Application Serial Number listed above (whichever is applicable).</p>		
REVIEW AND UNDERSTOOD CLAUSE		
<p>I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s). I acknowledge the duty to disclose information which is material to the examination of this application in accordance with <i>Title 37, Code of Federal Regulations, §1.56(a)</i>.</p>		
DOMESTIC PRIORITY CLAIM		
<p>I hereby claim the benefit under <i>Title 35, United States Code, § 120</i> of any United States application(s) or PCT International Application(s) designating The United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of <i>Title 35, United States Code § 112</i>, I acknowledge the duty to disclose material information as defined in <i>Title 37, Code of Federal Regulations, § 1.56(a)</i> which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:</p>		
APPLICATION NUMBER	FILING DATE	STATUS (Patented, Pending, Abandoned)
60/141,859	July 1, 1999	Pending
60/155,489	September 23, 1999	Pending

DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY		
FOREIGN PRIORITY CLAIM		
<p>I hereby claim foreign priority benefits under <i>Title 35, United States Code § 119</i> of any foreign application(s) for patent or inventor's certificate or of any PCT International Application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or of any PCT International application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) on which the priority is claimed.</p>		
APPLICATION NUMBER	FILING DATE	COUNTRY

WILLFULL FALSE STATEMENT CLAUSE
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under <i>Section 1001 Title 18 of the United States Code</i>, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>

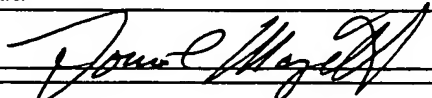
CORRESPONDENCE ADDRESS
<p>Please send all correspondence with regard to the above-captioned application to:</p>
<p style="text-align: center;"> Richard E. Kurtz, II GREENBERG TRAURIG 1750 TYSONS BLVD, 12TH FLOOR MCLEAN, VIRGINIA 22102 CUSTOMER NUMBER: 22191 </p>
<p>Direct all phone inquiries to: 703-749-1330</p>

POWER OF ATTORNEY

I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith the following registered Patent Attorneys:

NAME	REGISTRATION NUMBER
Mark A. Wurm	31,682
Richard E. Kurtz	33,936
Paul F. McQuade	31,542
Paul L. Ratcliffe	45,290

FIRST OR SOLE INVENTOR INFORMATION

<i>Full Name</i>	<i>Citizenship</i>
Louis Magill	US
<i>Residence Address</i>	<i>City:</i>
115 Longbranch Road	Winter Park
<i>State</i>	<i>Zip Code</i>
Florida	32792
<i>Country:</i>	
UNITED STATES	
<i>Date:</i>	<i>Signature:</i>
X January 17, 2001	X 

SECOND INVENTOR INFORMATION

<i>Full Name</i>	<i>Citizenship</i>
Bob Semones	US
<i>Residence Address</i>	<i>City:</i>
155 Springwood Trail	Altamonte Springs
<i>State</i>	<i>Zip Code</i>
Florida	32714
<i>Country:</i>	
UNITED STATES	
<i>Date:</i>	<i>Signature:</i>

UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.: 09/609,028	Art Unit: 2161	
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APPLICATION NUMBER	FILING DATE	COUNTRY

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<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under <i>Section 1001 Title 18 of the United States Code</i>, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>

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Richard E. Kurtz	33,936
Paul F. McQuade	31,542
Paul L. Ratcliffe	45,290

FIRST OR SOLE INVENTOR INFORMATION	
Full Name	Citizenship
Louis Magill	USA
Residence Address	City:
155 Longbranch Road	Winter Park
State	Zip Code
Florida	32792
Country:	
UNITED STATES	
Date:	Signature:

SECOND INVENTOR INFORMATION	
Full Name	Citizenship
Bob Semones	USA
Residence Address	City:
115 Springwood Trail	Winter Park
State	Zip Code
Florida	32714
Country:	
UNITED STATES	
Date:	Signature:
12/4/2000	